Section III – Findings and Questioned Costs – Major Federal Programs

Finding 2022-001: Enrollment Reporting

Federal Agency: Department of Education
Federal Program: Student Financial Aid Cluster
Assistance Listing Number: Various
Award Period: July 1, 2021 through June 30, 2022
Type of Finding: Significant Deficiency in Internal Control Over Compliance; Noncompliance

Criteria

The Code of Federal Regulations, 34 CFR 685.309(b), states schools must have some arrangement to report student enrollment data to National Student Loan Database Student (NSLDS) through an enrollment roster file. The school is required to report changes in the student's enrollment status, the effective date of the status, and an anticipated completion date.

Also, the Code of Federal Regulations, 34 CFR 682.610, states that institutions must report accurately the enrollment status of all students regardless if they receive aid from the institution or not. Changes to said status are required to be reported within 30 days of becoming aware of the status change, or with the next scheduled transmission of statuses if the scheduled transmission is within 60 days. Regulations require the status include an accurate effective date. There are two categories of enrollment information "Campus Level" and "Program Level" both of which need to be reported accurately. Regulations require the status include an accurate effective date. In addition, regulations require that an institution return the enrollment rosters within 15 days from receipt of the rosters and make necessary corrections and resubmit to NSLDS within 10 days.

Condition

Foothill College:
Twenty students from a statistically valid sample identified the following conditions for 6 students:

1) The enrollment effective date reported to NSLDS did not match the College's record - 6 students
2) The student's enrollment status did not match the College's Record - 1 student